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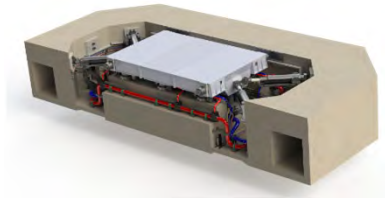
Natural Hazards Engineering Research Infrastructure



UC San Diego  
JACOBS SCHOOL OF ENGINEERING  
Structural Engineering

# ***GEO-STRUCTURES*** ***Earthquake Engineering Resilience***

*Sissy Nikolaou, WSP*



*Joint Academia-Industry NHERI Workshop  
NHERI@UC San Diego*

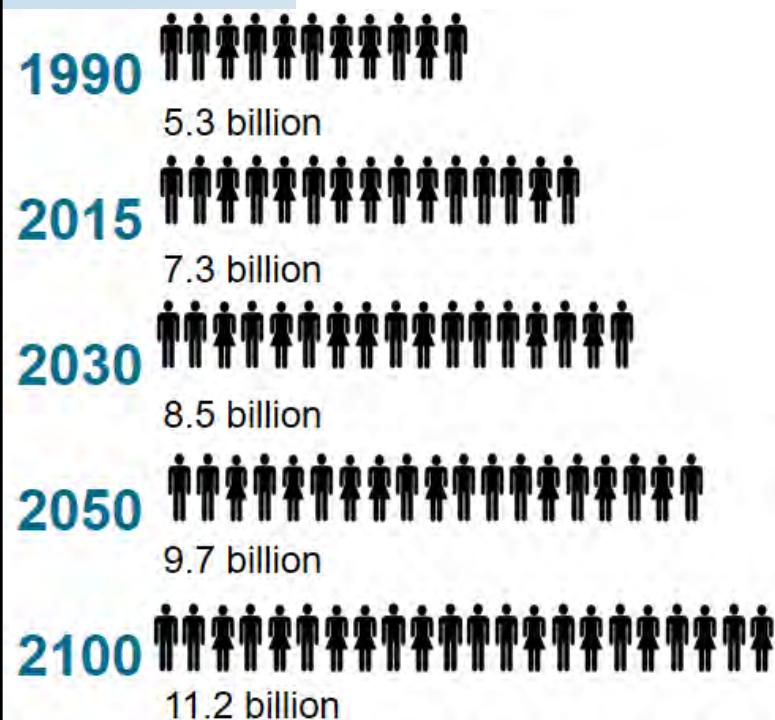
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*September 21-22, 2020  
University of California, San Diego*



# FACT: Smaller Events $\neq$ Less \$ or $\heartsuit$ Lost

increasing urbanization, climate change



2018 “unremarkable” for natural hazards with many smaller disasters

Immense toll :

13,500  $\heartsuit$  lost (vs. 11,000 in 2016).

155B \$ losses  $\rightarrow$  76B in pay-outs (Swiss Re), 4<sup>th</sup> highest ever

Trend : “new norm” of higher-frequency, more localized events, many related to extreme weather, causing ever greater damage.

With climate change, if extreme events affect a new densely populated area, what was once a small localized event will become now a catastrophic event.



# Resilience

## Foundation of a new Babel Tower ?

Google Searches past 15 years  
Bruneau & Reinhorn (2019)

<b>SEARCH</b>	<b>2016</b>	<b>2000</b>	<b>factor</b>
Resilience	47,000,000	7,880,000	6
Engineering Resilience	17,300	6,200	3
Quantifying Engineering Resilience	3	1	3

Bruneau & Reinhorn, 2019



## What do I think ?

### Disasters: When/How not If

multi-hazard predictions  
climate change  
natural/urbanized environment

### Resilience is a Choice

making *informed decisions* based on risk assessments with best knowledge, science, technology, while optimizing funding allocation.

Simple: *it works* (6-fold return in federal investments)

Society: building *trust in engineering* through performance

### Do vs. Have Park et al. 2012

Emergent **property of what an engineering system does**, rather than a **static property the system has**; outcome of a **recursive process** with **sensing, anticipation, learning, and adaptation**, making it complementary to risk analysis with important implications for the **adaptive management of complex, coupled engineering systems**.

# Life Safety is NOT Enough



*“bounce back”*

or rather

*“bounce forward”*

Ref: ICONHIC, Nikolaou (2016);

**“Life Safety”** objective → **no loss of life after an extreme event**. The structure gives the chance to get out of it alive, while it may be heavily damaged or need to be demolished later.

**Life quality**, rather than **life safety** represents **societal needs of resilience** as not a “bouncing back” but rather **“bouncing forward” strategy** that relies on **Functional Recovery** (NIST-FEMA, 2020) goals.

C 13.10:510

# TENTATIVE PROVISIONS FOR THE DEVELOPMENT OF SEISMIC REGULATIONS FOR BUILDINGS

A Cooperative Effort with the Design Professions,  
Building Code Interests and the Research Community

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APPLIED TECHNOLOGY COUNCIL

Associated with the Structural Engineers Association of California



National Science Foundation

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National Bureau of Standards

## WISDOM OF THE PAST

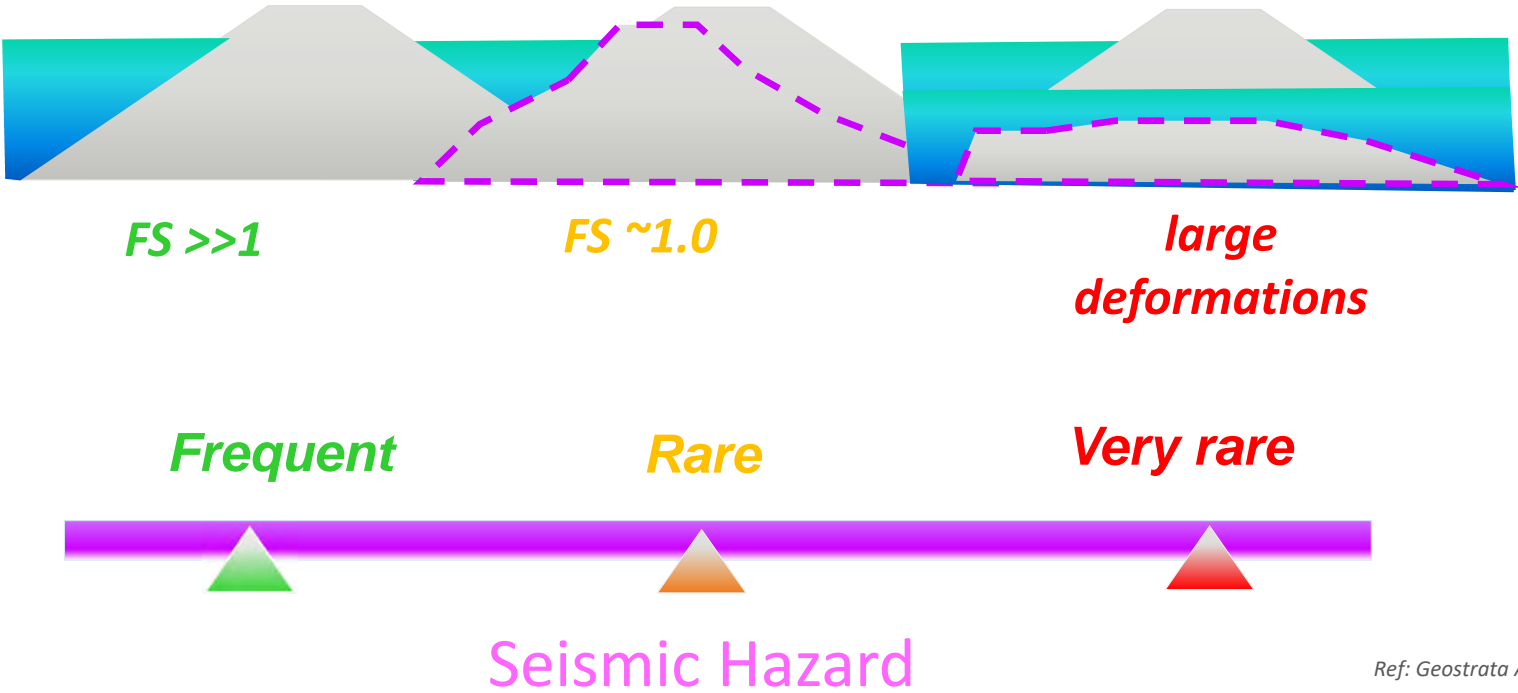
**NBS [NIST] ATC 3-06 (1978):** *It really is the probability of failure with resultant casualties that is of concern.....The geographical distribution of that probability is **not** necessarily **same** as the distribution of probability of exceeding some ground motion....*

# FOUNDATION SEISMIC DESIGN

“Although.. **Codes of Practice** begin with good intentions, they often **constrain innovation + ingenuity** .. eventually becoming the only basis of acceptable design.”

*M. Puller (1998): “Deep Excavations”*

# RESILIENCE-BASED GEOTECHNICAL EQ DESIGN



Ref: Geostrata ASCE (Nikolaou, 2013)



# RESILIENCE-BASED GEOTECHNICAL DESIGN

## FUNCTIONAL RECOVERY GOALS

NIST-FEMA (2020)

Remain *operational* after medium-intensity earthquakes

Preserve *structural integrity* under extreme loading

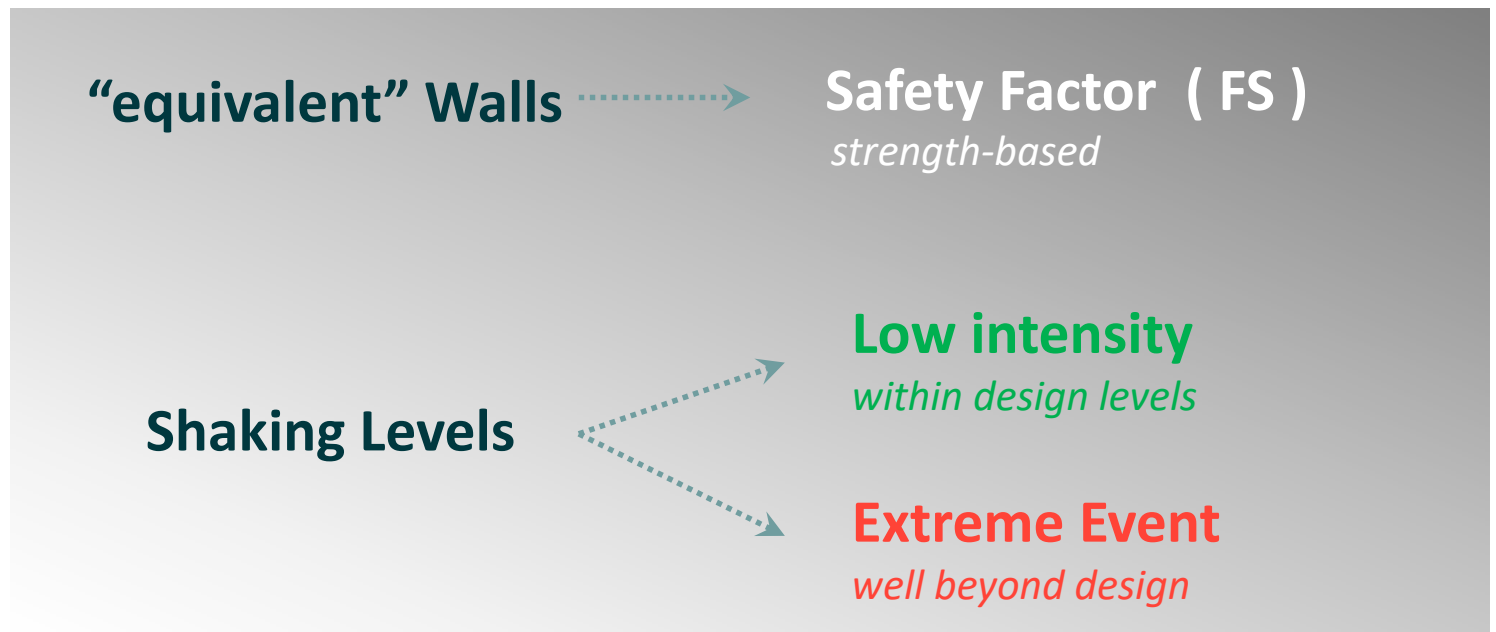
Demonstrate *redundancies*

# **Resilient Foundation Design**

## Example - Earth Retaining Systems

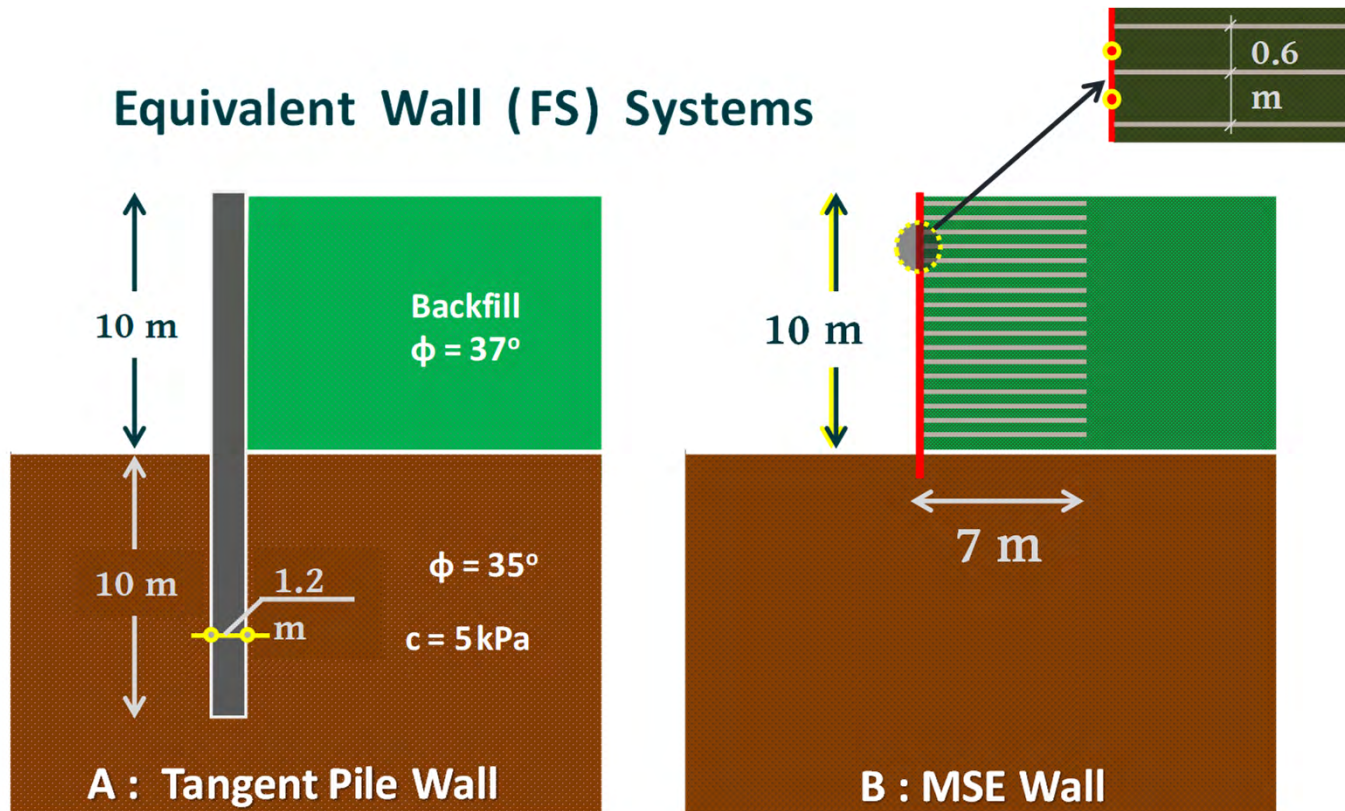
# RESILIENCE-BASED GEOTECHNICAL DESIGN

Example : Earth Retaining Systems



# FACTOR OF SAFETY (FS)

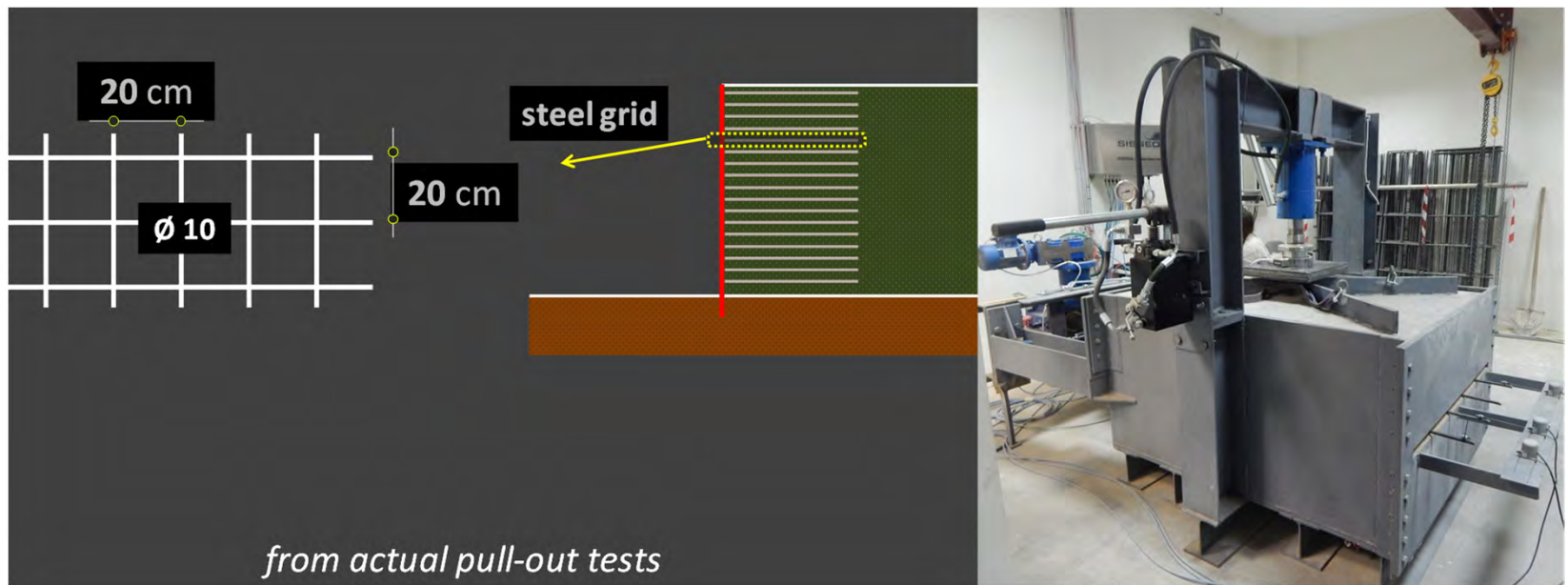
## Equivalent Wall (FS) Systems



Static  
 $FS_{st} = 1.8$

Pseudo-Static  
 $FS_{EQ} = 1.2$   
( $\alpha = 0.16 g$ )

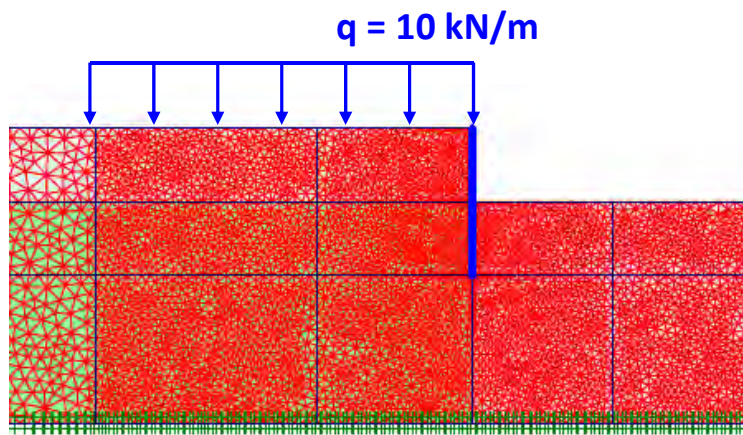
# TRANSVERSE BARS



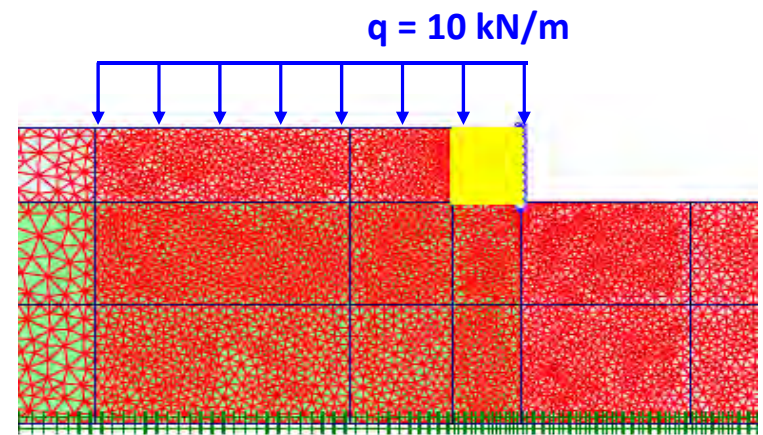
National Technical University of Athens, Soil Dynamics Laboratory

# Resilience-Based Geotechnical Application

## Numerical Analysis for FS



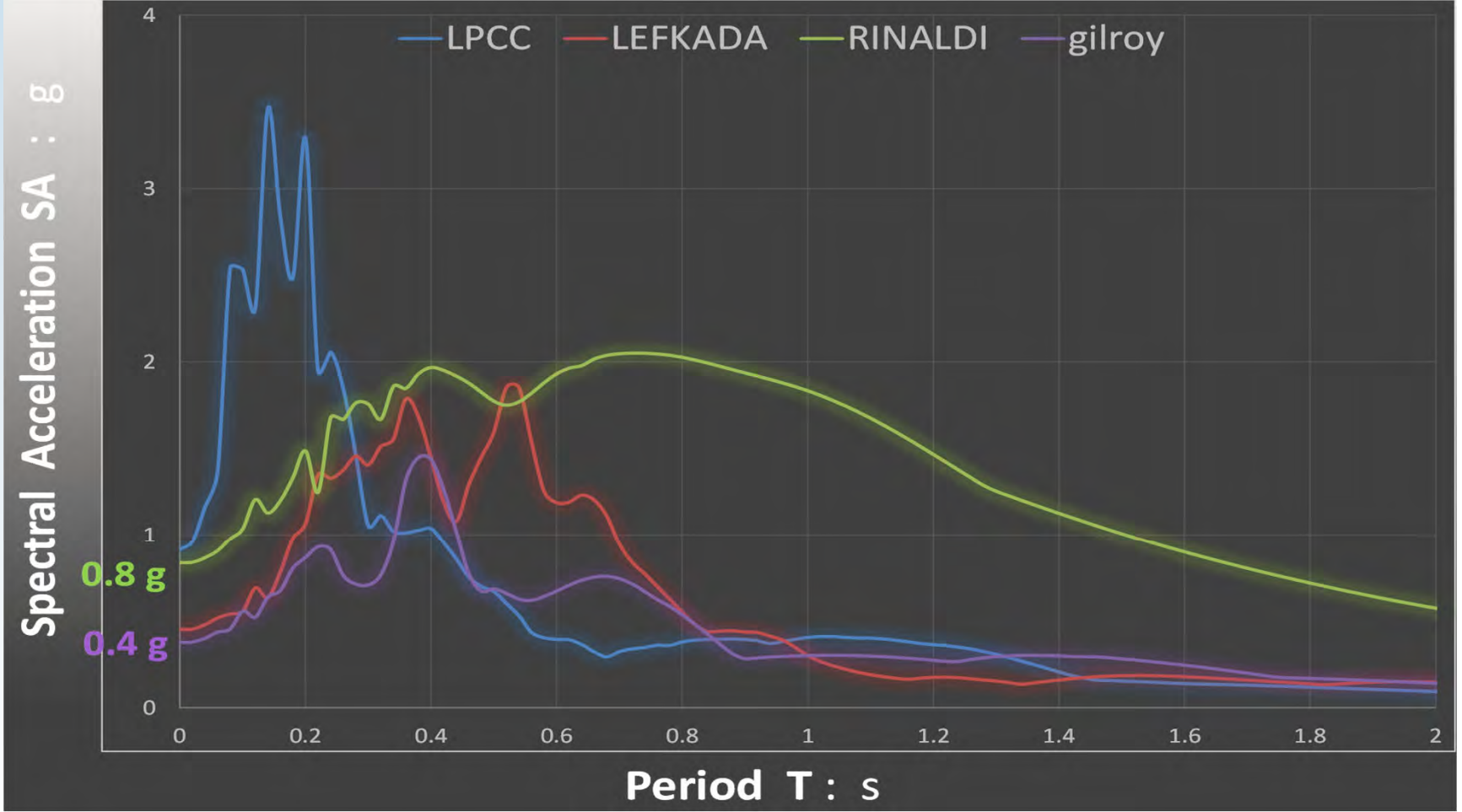
**A : Tangent Pile Wall**



**B : MSE Wall**

Static	$FS_{st} = 1.8$	=	$FS_{st} = 1.8$	
Pseudo-Static	$FS_E = 1.2$	=	$FS_E = 1.2$	( $\alpha = 0.16 g$ )

# INPUT GROUND MOTIONS



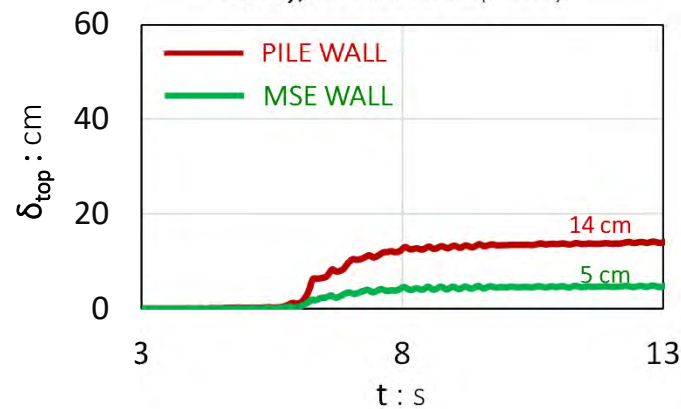
# DYNAMIC RESPONSE

## Top of Wall Displacement



PGA  $\approx$  0.4g

*Gilroy, Loma Prieta (1989)*





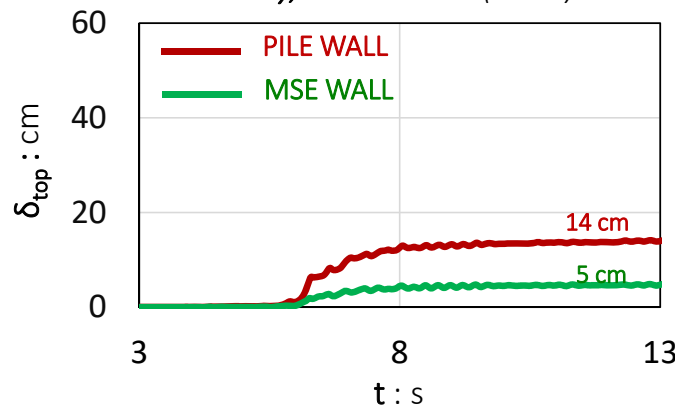
# DYNAMIC RESPONSE

## Top of Wall Displacement



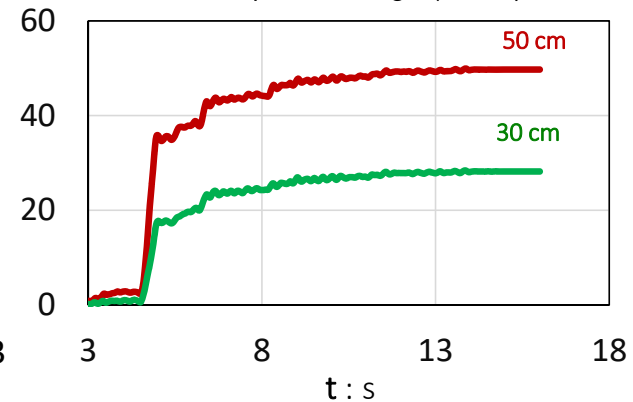
PGA  $\approx$  0.4g

*Gilroy, Loma Prieta (1989)*



PGA  $\approx$  0.8g

*Rinaldi, Northridge (1994)*

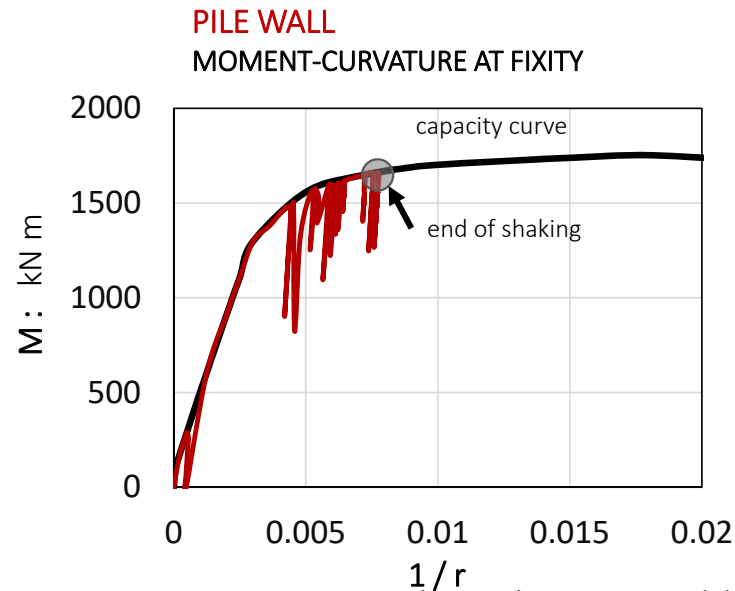


*MSE wall behaves significantly better*

# PERFORMANCE QUANTIFIERS

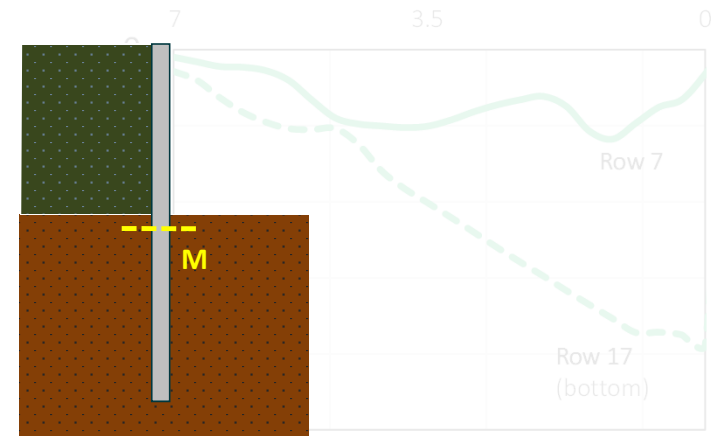
## Extreme Excitation (Rinaldi)

Quantification of Performance  
Pile Wall: Moment-Curvature at fixity (left)



PGA  $\approx$  0.8g

x: m



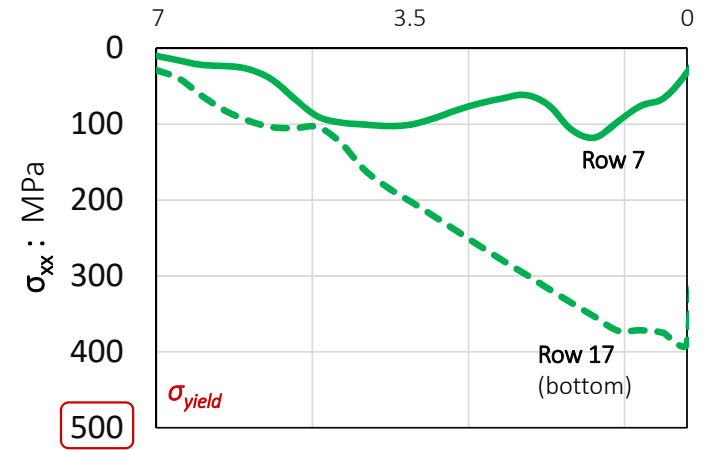
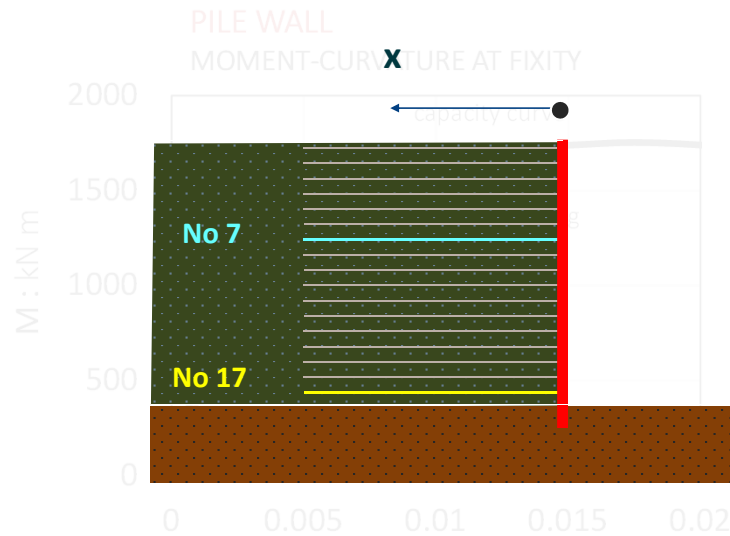
MSE WALL

# PERFORMANCE QUANTIFIERS

## Extreme Excitation (Rinaldi)

### Quantification of Performance

MSE Wall: Axial stresses along rib length @ middle, bottom heights

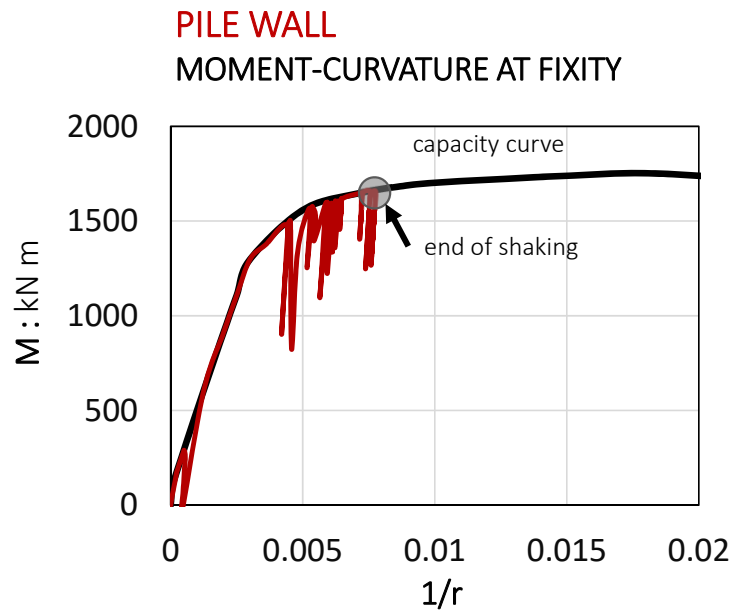


MSE WALL  
AXIAL STRESSES ALONG RIB

# PERFORMANCE QUANTIFIERS

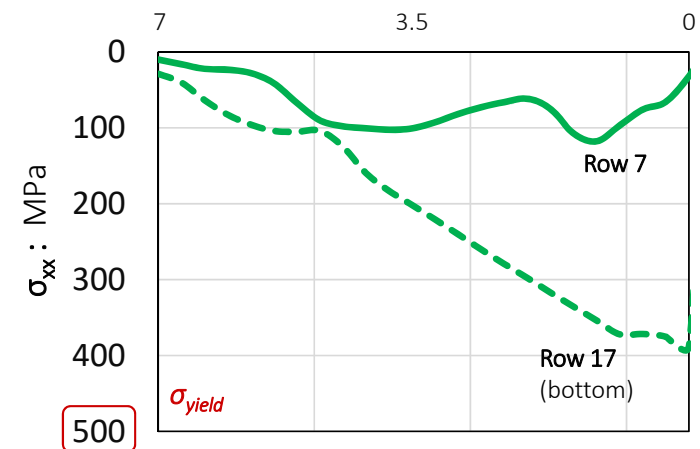
## Extreme Excitation (Rinaldi)

Quantification of Performance  
Pile Wall: Moment-Curvature at fixity



PGA  $\approx$  0.8g

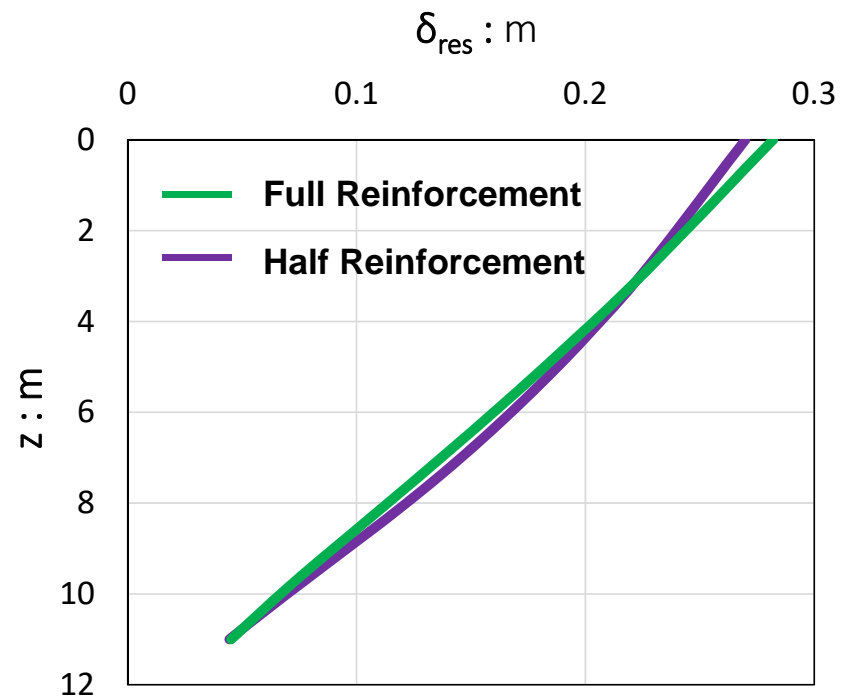
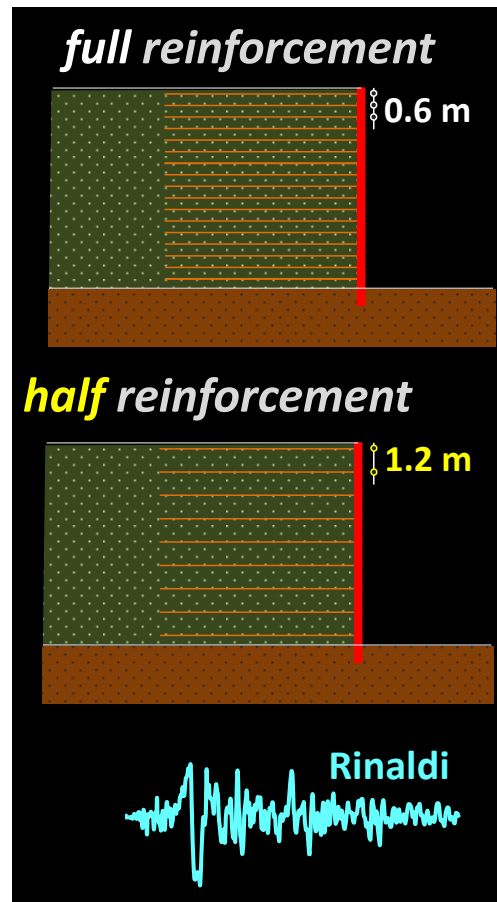
x : m



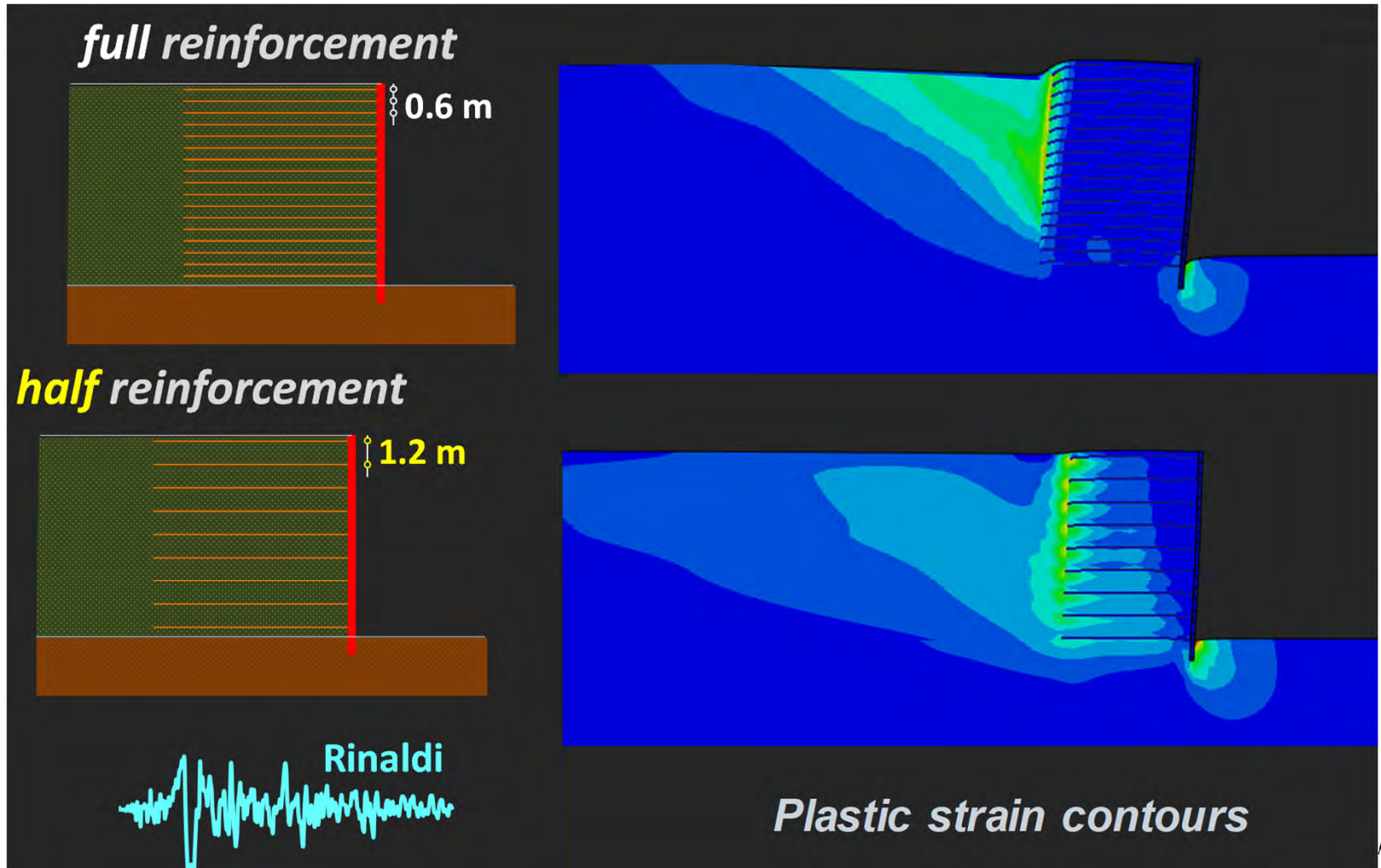
**MSE WALL**  
AXIAL STRESSES ALONG RIB

# REDUNDANCY EVALUATION

## MSE Wall



# MSE Wall Redundancy Evaluation



# RESILIENCE-BASED GEOTECHNICAL DESIGN

## Example : Earth Retaining Systems

### Conclusions

Both systems **may avoid collapse** during strong earthquakes, but the **pile wall deformation** would be unacceptable.

The **MSE system** is more **redundant**, making it likely to sustain multiple & smaller events offering both risk optimization and cost-effectiveness

Reviewing in-depth **numerical results** provide valuable insight in the behavior of the system

### Actual Observations



Ref: Kuwano et al. (2014)

*This could  
save me  
money!*



*This could  
sponsor my  
research*







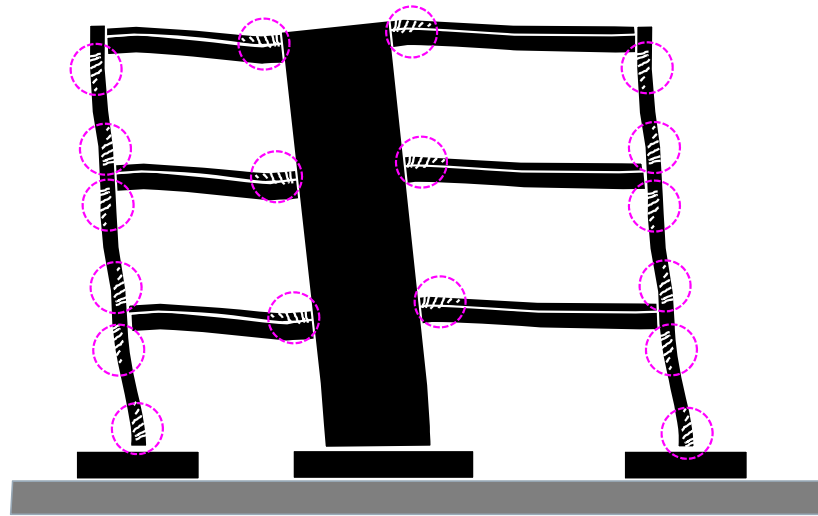
**DARE**

to Think Differently, Beyond Codes  
**Is Stronger Better?**

**IS  
STRONGER  
BETTER?**

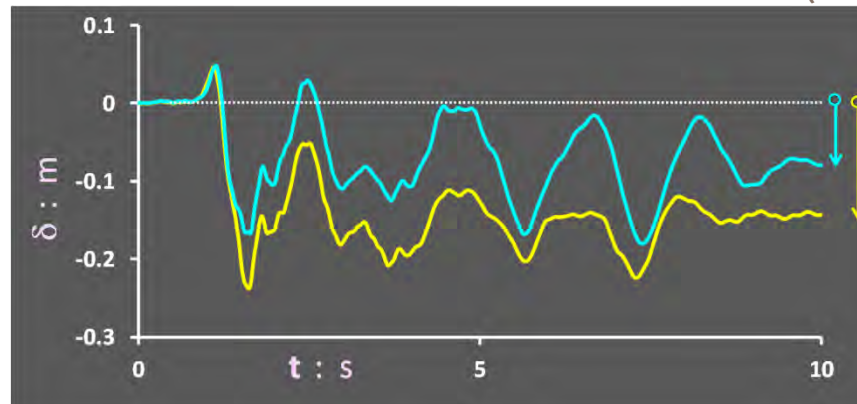


# Resilience by Geo-Design



Intentionally **UNDER-design** the foundation so **plastic “hinging”** will develop at **soil**

*Gazetas et al. (2018); Kutter et al. (2017)*



Rocking  
Conventional

Utilize soil **DUCTILITY**,  
Allow **FS < 1** !!!

# LEARNING from EARTHQUAKES

Why Did this Work?

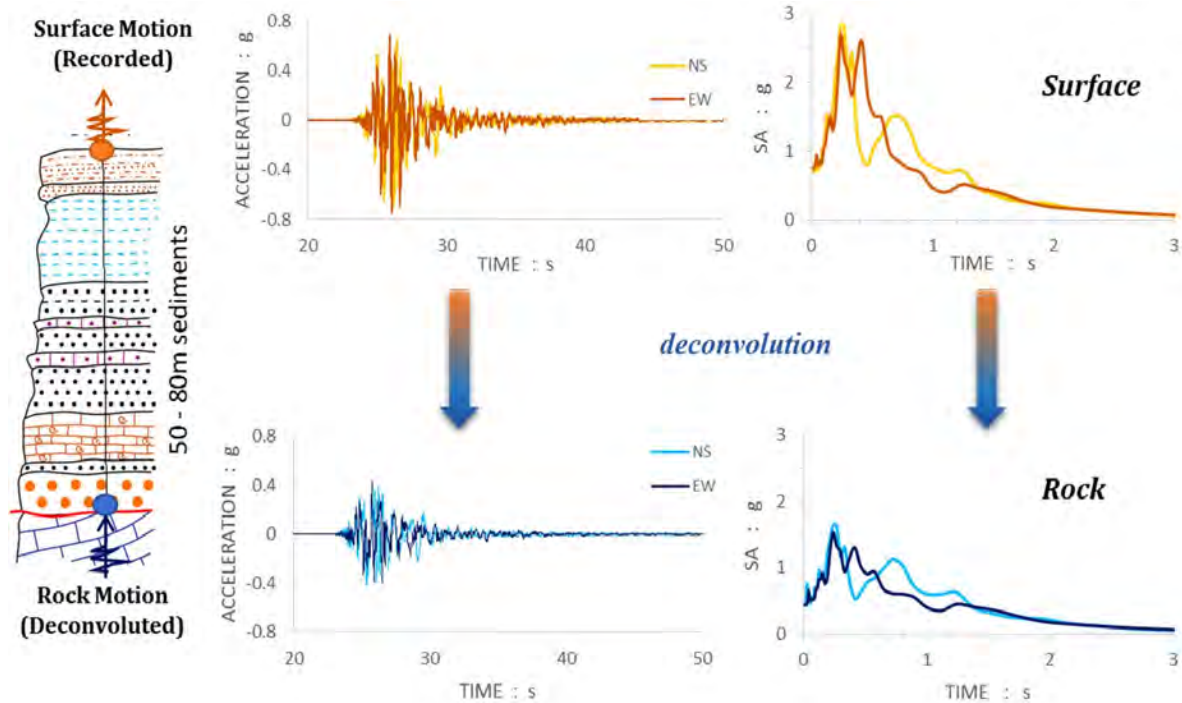
# 2014 Greece EQs

## 1995 Havdata RC Structure ~ 2 km north of CHV1





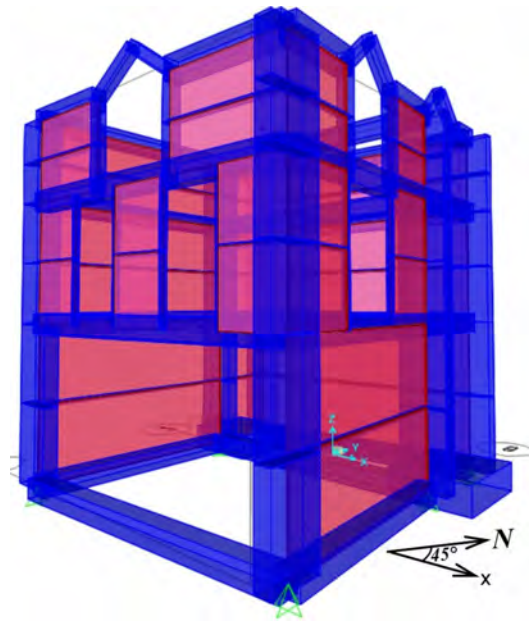
# Ground Motion Simulation



Ref: Structure (2015); GEER-034 (2014)

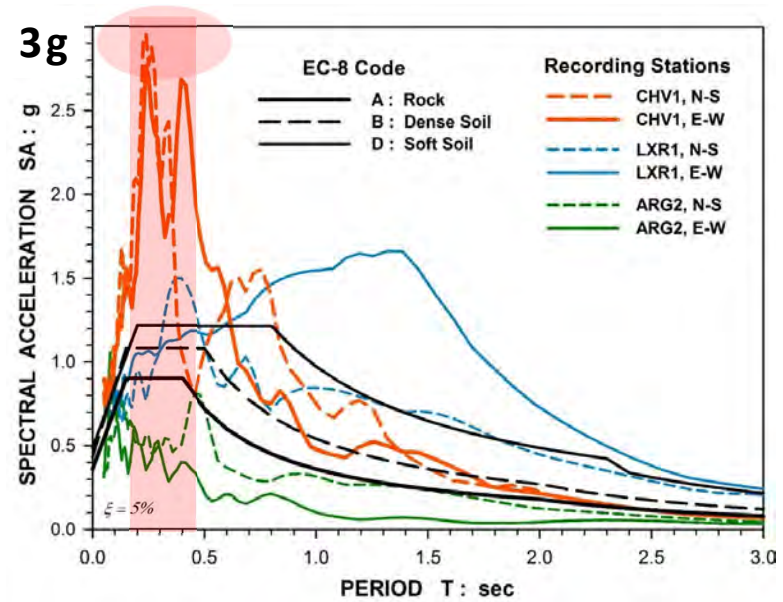


# Resilient Behavior Explained



**Structural Period (with infill)**

$T_1 \sim 0.08$  s;  $T_2 \sim 0.05$  s



**without infill**

$T_1 \sim 0.31$  s;  $T_2 \sim 0.26$  s

What are you  
Talking About?

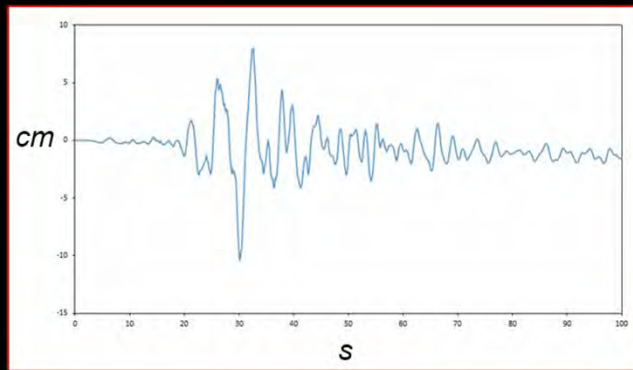
# COMMUNICATION IS KEY





# VISUALIZATION TO COMMUNICATE WITH OTHER DISCIPLINES

## DISPLACEMENT RECORD



North - South

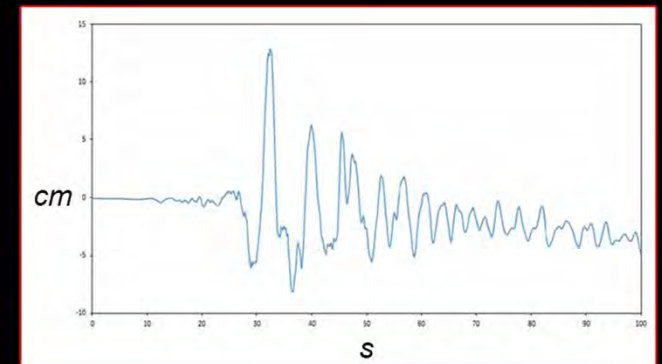
**wsp**

North Displacement (cm)

Depth (m)

0

0.00 s



East - West

East Displacement (cm)

101.35

# RESILIENCE-BASED GEOTECHNICAL DESIGN

## Needs for NHERI @UCSD Shake Table

Understand ***fundamental behavior*** of both systems

Perform ***experiments in various scales*** and the laboratory to ***calibrate and validate*** computational models.

Incorporate ***reconnaissance lessons*** of success

***Innovate*** with materials, concepts and construction methods that can provide ***redundancy***

Prove concepts with extreme and ***multiple & smaller multi-hazard events*** offering both ***risk optimization*** and ***cost-effectiveness***.

***Communicate*** and ***collaborate*** with practice



Leo  
Lilly

*"Never, ever, think outside the box."*

THE NEW YORKER

**Many thanks for your attention**

and to the

**NSF-Funded NEHRI Program at UCSD**

for this great opportunity to present my views

My mentors

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Dr. A. Rahimian, WSP

My collaborators

Dr. R. Kourkoulis, NTUA

Dr. F. Gelagoti, NTUA

Dr. I. Georgiou, NTUA

Dr. Ilya Shleykov, WSP

**Sissy Nikolaou**

M: +1-917-301-2507 | [S.Nikolaou@outlook.com](mailto:S.Nikolaou@outlook.com)